

102203

15866 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.  
SYMAP038

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Jonathan A. Tester, entitled CONTROLLING FILE OPERATIONS, for a(n):

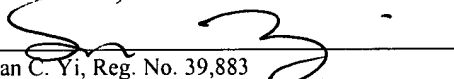
- (X) Original Patent Application.
- ( ) Continuing Application (prior application not abandoned):  
 ( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
 of prior Application No. \_\_\_\_\_, filed \_\_\_\_\_.
- ( ) Please add after the title of the application "This is a  
 ( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
 of Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is hereby incorporated by reference."
- ( ) This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_ filed \_\_\_\_\_

### Enclosed are:

- (X) Specification; 17 Total Pages. (X) Drawing(s); 8 Total Sheets.
- ( ) Oath or Declaration:  
 ( ) A Newly Executed Combined Declaration and Power of Attorney:  
 ( ) Signed. ( ) Unsigned. ( ) Partially Signed.
- ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
 ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- ( ) Power of Attorney. (X) Return Receipt Postcard.
- ( ) Associate Power of Attorney. ( ) A Check in the amount of \$ \_\_\_\_\_ for the Filing Fee.
- ( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.
- ( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
- ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).
- ( ) Statement(s) of Status as a Small Entity.
- ( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
- (X) Request and Certification.
- ( ) Other: \_\_\_\_\_

**PLEASE DO NOT CHARGE THE FILING FEE AT THIS TIME.**

Respectfully submitted,

By:   
 Susan C. Yi, Reg. No. 39,883

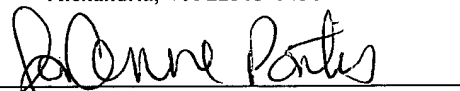
Date: October 22, 2003

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Mail Stop Patent Application  
 Commissioner for Patents  
 P. O. Box 1450  
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Date of Deposit: October 22, 2003

17510 U.S. PTO  
10/691443  
10/22/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:	)	Attorney Docket No. SYMAP038
	)	
Jonathan A. Tester	)	Examiner: Unassigned
	)	
Application No: Unassigned	)	Art Unit: Unassigned
	)	
Filed: Herewith	)	
	)	Date: October 22, 2003
Title: CONTROLLING FILE OPERATIONS	)	
_____	)	

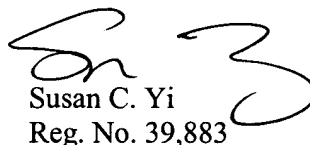
REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

  
Susan C. Yi  
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**Attorney Docket No.: SYMAP038**